

Joint implementation programmes of activities (JI PoA)

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(JISC 17)

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Decision 5/CMP.4

In paragraph 6, the CMP requested the JISC:

“to develop, as soon as possible, definitions, forms, guidelines and procedures for projects under programmes of activities implemented under the verification procedure under the Committee, bearing in mind the work of the Executive Board of the clean development mechanism in this area”.

Decision at JISC 14

- Develop procedures on programmes of activities under the Track 2 procedure

Decision at JISC 15

- Draft procedures amended
- Call on public inputs on the draft procedures
- Option on revision of the provisions for the charging of fees

Decision at JISC 16

- Consideration of public inputs from the call
- Inputs from the roundtable
- Preparation of second draft procedures
- Information note on options for issues of accountability

Current Status

- Second draft and JI PoA-DD form for JISC consideration
- Call for public inputs is open
- Additional feedback expected from workshop

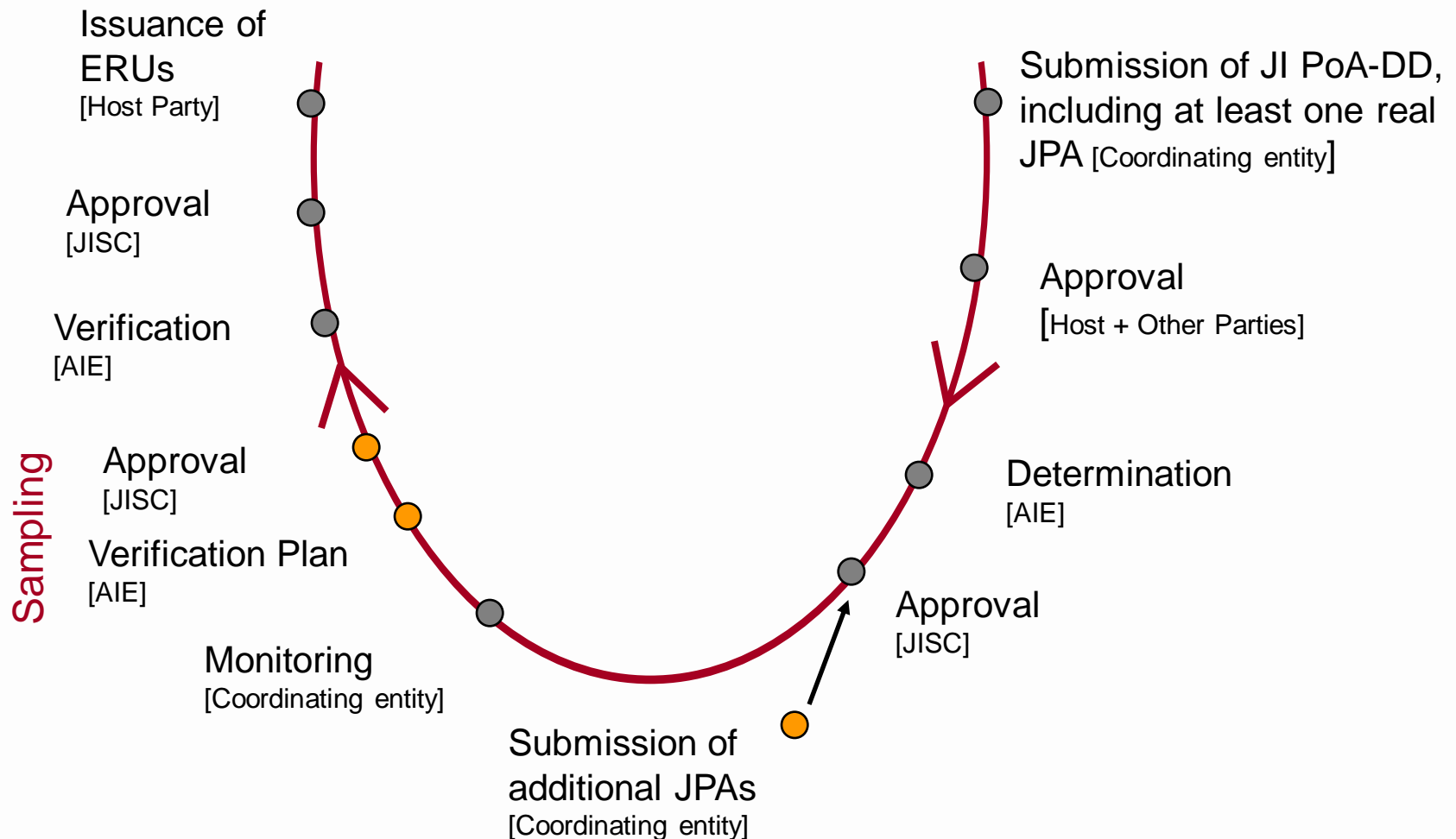
Definitions

- **JI PoA:** implements a policy or goal and is comprised of one or more type of JPAs that have or will be replicated.
- **JPA:** a project that results in reduction of anthropogenic emissions by sources or by sinks that are additional to any that would otherwise occur.
- **Type of JPA:** is defined by the technologies or measures used
- **Coordinating entity:** legal or governmental entity that will coordinate and manage the JI PoA

Procedures

- **JI PoA-DD:** only one form to submit a programme
- **Baseline setting and monitoring:** possibility to use different technologies and different baselines
- **Additionality:** shall be demonstrated for the JI PoA or for each type of JPA in the JI PoA
- **Determination of JI PoA:** AIE shall take into account the JI guidelines and relevant JISC documents and also address additionality of the JI PoA, eligibility criteria for inclusion of JPAs, and operational and management arrangements
- **Inclusion of JPAs:** coordinating entity responsible for checking eligibility; period for public comment; opportunity for Parties involved or JISC to request a review for erroneous inclusion
- **Verification of JI PoA:** AIE shall identify the JPAs to be verified; specific procedure in case of sampling

Development of procedures on JI PoA | JI PoA Cycle



Inputs from the JI Technical Workshop for changes on the second draft

- Accountability: concerns raised by AIEs regarding impact on AIEs of erroneous JPA inclusion.
- Request for review period on the inclusion of JPA: some stakeholders wondering if JISC is necessary, suggesting DFPs sufficient.
- Additionality: seeking clarification on how additionality will be demonstrated for each type of JPA and proposing that JI PoA level demonstration should be flexible.
- Suggesting that the verification period should be able to overlap.
- Suggesting that the host Party take on increased responsibilities in approval of JPAs' inclusion and AIE verification plan.
- Suggesting to keep the procedures for JI PoA as simple as possible.

2 options for **fees for processing the verification**:

- Similar approach to normal JI projects: USD 0.10 for the first 15,000 tonnes of CO₂ equivalent and USD 0.20 for any amount in excess of 15,000
- Simplified approach: USD 0.10 for the tonnes of CO₂ equivalent reduced

The **advance fee payment** should follow one of the rates for the fee for processing the verification (based on the expected average emission reduction in a given calendar year). What should be the maximum amount?

Fee for inclusion of JPA:

- USD 0.10 (based on the expected average emission reduction in a given calendar year)

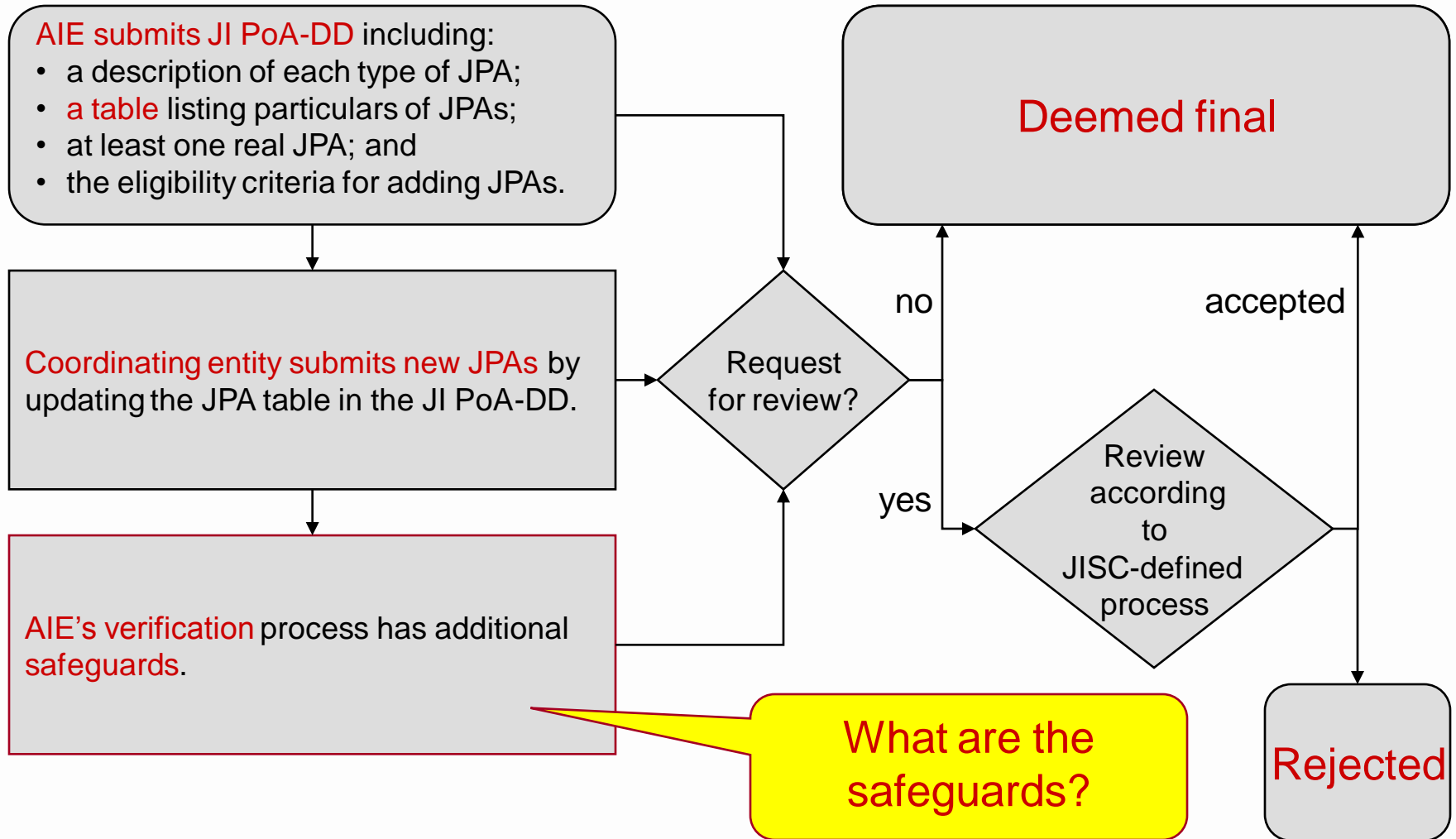
SUGGESTED APPROACH FOR ISSUES OF ACCOUNTABILITY IN THE JI POA

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Safeguards

For the initial verification, the AIE confirms that the JPAs and initial monitoring are in accordance with the “deemed final” JI PoA

Details

- by verifying each JPA; or
- if appropriate, using a **sample-based** approach.

If the AIE uses a sample-based approach, then it must submit to the JISC for **pre-approval a verification plan** that includes:

- a justification for its **sample size and selection**; and
- a **list of sites selected for onsite inspections**, if any.

Details

Details

Draft text for subsequent verifications

For **each verification after the initial verification**, an AIE that uses a sample-based approach submits to the JISC for pre-approval a verification plan that includes:

- a justification for its sample size and selection; and
- a list of sites selected for onsite inspections, if any.

To the greatest extent possible, the sample selection:

- **differs from the sample selection of the initial verification**; and
- takes into account
differences among the characteristics of JPAs;
the timing of the addition of new JPAs; and
the length of monitoring periods of the JPAs being verified.

Implications

The JISC, with the secretariat's support, is responsible for:

- overseeing and monitoring the **addition of new JPAs** to a “deemed final” JI PoA without an AIE’s involvement;
- defining a **review procedure** for the addition of new JPAs to a “deemed final” JI PoA; and
- overseeing and monitoring **verification plans** submitted by AIEs that use a sample-based approach.

AIEs are responsible for submitting **statistically sound** verification plans if they use a sample-based approach.

JI guidelines apply as before

AIE still accountable for its own performance under JI guidelines.

I.e., the AIE must acquire AAUs and ERUs at its own cost if:

- its accreditation has been withdrawn or suspended;
- significant deficiencies are identified in the determination or verification for which the AIE was responsible; and
- assessment of the deficiencies reveals that excess ERUs have been transferred as a result of the deficiencies.

AIE is not accountable for excess ERUs that may be issued for JPAs that are not in the sample in the JISC-approved verification plan.

Suggested JI approach	Current CDM approach
AIE submits JI PoA-DD and a table listing particulars of JPAs	DOE submits CDM-POA-DD and a CDM-CPA-DD based on one real case
procedures for review apply	procedures for review apply
coordinating entity submits a new JPA by updating the JPA table in the JI PoA-DD	coordinating entity adds a new CPA by forwarding specific CDM-CPA-DD to DOE; DOE checks consistency, then forwards the CDM-CPA-DD to EB
a DFP involved or [one], [two] [three] JISC members may request review of inclusion of the JPA	a DNA involved or one EB member may request review of inclusion of the CPA within one year of inclusion or renewal of crediting period of the CPA, or six months after the issuance of CERs for that CPA, whichever is later

Suggested JI approach	Current CDM approach
<p>Review of inclusion of JPA is in accordance with a JISC-defined review process or in accordance with current procedures for review for JI projects</p>	<p>EB decides whether to initiate review or immediately exclude CPA from PoA. Review conducted by DOE that did not do validation, registration, inclusion or verification for this PoA by assessing random sample of 10% of all CPAs included and submitting report to EB</p>
<p>AIE's verification has safeguards: AIE verifies each JPA or uses sample-based approach with JISC's pre-approved plan including: justification for sample size and selection; and list of sites selected for onsite inspections</p>	<p>If, as result of review, EB decides to exclude CPA, then DOE that included the CPA, acquires and transfers, within 30 days of exclusion of the CPA, an amount of reduced tonnes of carbon dioxide equivalent to the amount of CERs issued to PoA as a result of CPA having been included</p>

Accountability for JI PoAs

Thank you.



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The sample selection identifies and takes into account, to the greatest extent possible, differences among the characteristics of JPAs, *inter alia*, such as **size, complexity, geographical location, technology or measures used and justification and application of the baseline setting and monitoring chosen**, such that the samples selected adequately represent the JPAs subject to verification.

If, in its sample selection, the AIE does not adequately identify and take into account differences among JPAs, then it provides a **reasonable explanation and justification for not doing so**.



For the initial verification of JPAs in a JI PoA, the AIE makes **onsite inspections to at least the square root of the number of total JPAs, rounded to the upper whole number** (for example, 10 onsite visits for a JI PoA of 100 JPAs, 11 onsite visits for a JI PoA of 101 JPAs).

If, in its initial verification plan, the AIE proposes no site inspections or site inspections to a smaller sample than the square root of the number of total JPAs, rounded to the upper whole number, then it provides a **reasonable explanation and justification**.



Subsequent Verifications: ?

